PTO/SB/ (01/01) Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1894, no persons are required to respond to a collection of information unless it displays a velid OMB control number Attorney Dockét No. 2003-1238A UTILITY First Named Inventor: Shinichi NAKAYAMA et al. PATENT APPLICATION TRANSMITTAL Title: DISC BRAKE (Only for new nonprovisional applications under 37 CFR 1.53(b) Express Mail Label No .: Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria, VA 22313-1450 Fee Transmittal Form 7. [] CD-ROM or CD-R in duplicate, large table or computer 1. [X] (Submit an original, and a duplicate for fee processing) program (Appendix) 2. [] Small Entity Status is hereby asserted. 8. [] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) 3. {X} Specification [Total Pages: 41] a. [] Computer Readable Form (preferred arrangement set forth below) b. Specification Sequence Listing on: Descriptive title of the Invention i. [] CD-ROM or CD-R (2 copies); or - Cross References to Related Applications ii. Il Paper - Statement Regarding Fed sponsored R & D c. [] The paper and computer readable copies are identical Reference to Sequence Listing, a table, or a computer program listing appendix. ACCOMPANYING APPLICATION PARTS - Background of the Invention Brief Summary of the Invention Brief Description of the Drawings (if filed) Assignment Papers (cover sheet & document(s)) **Detailed Description** 37 CFR 3.73(b) Statement (when there is an assignee) 10. [] Claim(s) [] Power of Attorney Abstract of the Disclosure 11. [] English Translation Document (if applicable) Information Disclosure Statement (IDS)/PTO-1449 12. [] 4. [X] Drawing(s) (35 USC 113) [Total Sheets: 13] [] Copies of IDS Citations 13. [] Preliminary Amendment 5. [X] Oath or Declaration [Total Pages: 3] 14. [X] Return Receipt Postcard (MPEP 503) (Should be specifically a.1. [] Newly executed (original or copy) itemized) a.2. [X] Unexecuted 15. [] Certified Copy of Priority Document(s) [] Copy from a prior application (37 CFR 1.63(d)) (if foreign priority is claimed) (for continuation/divisional with Box 18 completed) 16. [] Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. i. () DELETION OF INVENTOR(S) 17. [] Other Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. [] Application Data Sheet (see 37 CFR 1.76) 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet: [] Divisional [] Continuation [] Continuation-in-part (CIP) of prior application No.

Prior Application Information: Examiner

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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August 27, 2003

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Shinichi NAKAYAMA et al.

Serial No. NEW

Attn: APPLICATION BRANCH

Filed August 27, 2003

Attorney Docket No. 2003-1238A

**DISC BRAKE** 

## PATENT OFFICE FEE TRANSMITTAL FORM

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Sir:

Attached hereto is a check in the amount of \$750.00 to cover Patent Office fees relating to filing the following attached papers:

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted.

Nils E. Pedersen

Shinichi NAKAYAMA et al

Registration No. 33,145

for

Michael S. Huppert Registration No. 40,268 Attorney for Applicants

MSH/NEP/krl WENDEROTH, LIND & PONACK, L.L.P. 2033 K St., N.W., Suite 800 Washington, D.C. 20006-1021 Telephone (202) 721-8200 August 27, 2003

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